

3623



Petitioner's Docket No. 1525C/107

PATENT #22/313/04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Shapiro et al.  
Application No.: 09/538,556  
Date Filed: 03/29/2000  
For: System and Method for Facilitating Bilateral  
and Multilateral Decision-Making

Group No.: 3623  
Examiner: Stimpak, J.

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

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- 1. Transmitted herewith is an amendment for this application.

STATUS

- 2. Applicant is a small entity. A statement was already filed.

EXTENSION OF TERM

- 3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

■ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

■ with sufficient postage as first class mail.

37 C.F.R. § 1.10\*

□ as "Express Mail Post Office to Addressee"

Mailing Label No. (mandatory)

TRANSMISSION

□ facsimile transmitted to the Patent and Trademark Office, (703)

Signature

Date: February 19, 2004

Jeffrey T. Klayman

(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY				
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE			
TOTAL	26	-	29	= 0 x \$	9.00	= \$	0.00	
INDEP.	5	-	7	= 0 x \$	43.00	= \$	0.00	
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+ \$	0.00	= \$	0.00	
TOTAL					ADDIT. FEE	\$	0.00	

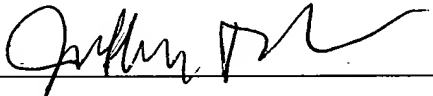
No additional fee for claims is required.

#### FEE DEFICIENCY

5. If an additional extension and/or fee is required, charge Account No. 19-4972.

If an additional fee for claims is required, charge Account No. 19-4972.

Date: February 19, 2004

  
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1525C/00107 296308.1



**Please Date Stamp and Return**

IPK/vel

The Commissioner for Patents has received from Bromberg & Sunstein LLP the following re:

Inventor: Shapiro et al.  
Title: S&M Method for Facilitating  
Bilateral and Multilateral  
Decision-Making  
Serial/Patent No.: 09/538,556  
Filing/Issue Date: March 29, 2000

Docket No.: 1525C/107  
Art Unit: 3623  
Examiner: Stimpak, J.  
Date: February 19, 2004  
Express Mail No.:

**Documents:**

- ☐ New Application Transmittal
- ☐ Provisional Application Cover Sheet
- ☐ Description- pages
- ☐ Claims- pages
- ☐ Abstract
- ☐ Application Data Sheet
- ☐ Request and Certification under 35 USC 122(b)(2)(B)(i)
  - ☐ sheets of drawings
  - ☐ formal ☐ informal
- ☐ Declaration & Power of Attorney
  - ☐ executed ☐ unexecuted

- ☒ Amendment Transmittal
- ☐ Amendment (Preliminary)
- ☒ Response
- ☐ IDS & References
- ☐ Petition for month extension
- ☐ Issue Fee Transmittal & Form PTOL-85b
- ☐ Payment of Maintenance Fee
- ☐ Assignment/Recordation Form Cover Sheet
- ☐ Check in the amount of \$
- ☐ Completion of Filing Requirements
- ☐ Transmittal of Formal Drawings

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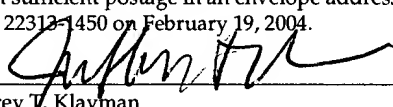
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Shapiro et al. Atty Dkt: 1525C/107  
Serial No.: 09/538,556 Art Unit: 3623  
Date Filed: March 29, 2000 Examiner: Stimpak, J.  
Invention: SYSTEM AND METHOD FOR FACILITATING BILATERAL AND  
MULTILATERAL DECISION-MAKING

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CERTIFICATE OF MAILING

I hereby certify that this document, along with any other papers referred to as being attached or enclosed, is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 19, 2004.

  
Jeffrey T. Klayman

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INTERVIEW SUMMARY AND AMENDMENT

Dear Sir:

In response to the Office action of November 19, 2003, please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 12 of this paper.

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